

Operational Risk Lead

Avanti Finance Group is a privately owned specialist lender operating across New Zealand and Australia. We're on a growth mission to lead and inspire our industry through innovation and the development of exceptional financial services, while fostering a motivated and engaged workplace culture centred around personal growth and high performance.

Reporting to the Group Risk Manager, this role supports the design, maintenance, and execution of Avanti's operational risk framework, with a strong focus on policy oversight, control design and testing, risk governance, and risk data quality. The role works closely with business leaders to embed effective risk practices across the organisation, support regulatory expectations, enhance risk insight, and ensure operational risks are identified, assessed, monitored, and managed appropriately.

IN THIS ROLE, YOU WILL:

Do-the-Doing

Governance & Frameworks

- > Support risk governance processes, including preparation of reporting and papers for:
 - > Enterprise Risk Committee (ERC)
 - > Board Audit & Risk Committee (ARC)
 - > Other risk or governance forums as required
- > Assist with the maintenance and administration of Avanti's enterprise risk management system (Protecht), ensuring data integrity and consistent use across the business.
- > Manage and maintain the policy and procedures register, including:
 - > Tracking review cycles and ownership
 - > Supporting policy updates and approvals
 - > Ensuring alignment with risk frameworks and regulatory expectations
- > Assist with the review, update, and maintenance of operational risk policies, standards, and frameworks owned by the CRO and Group Risk function.

Advisory

- > Assist with business with maintenance and update of registers in Protecht, such as risks, controls, incidents and actions to enhance record keeping and risk analytics.
- > Operate as a subject matter expert to assist the business with end-to-end incident management processes, capture and update of key controls, risk profiling and business continuity planning.
- > Assist with risk management communications and risk training initiatives.
- > Assist Business Units with the maintenance and execution of risk and other relevant frameworks to assist with overall risk capability.

Assurance, Control Testing & Continuous Improvement

- > Support the Group Risk Manager and CRO with internal risk reviews, thematic assessments, and ad hoc assignments as required.
- > Assist with the design, execution, and documentation of key control testing, including:
 - > Developing and maintaining control testing plans
 - > Performing control testing in line with established methodology
 - > Recording results, identifying issues, and supporting remediation tracking
- > Support engagement with Internal Audit, External Audit, and regulatory reviews as required.
- > Identify opportunities to enhance operational risk processes, reporting, and controls over time

Always Ensure Integrity, Risk and Compliance.

- > Apply a risk and compliance lens, ensure legal adherence, and manage all day-to-day risks through the appropriate channels.
- > Operate with integrity by upholding high standards in compliance and risk management through adherence to the three lines of defence model.

Living Our Values: Relentlessly Helpful, Do What's Right, People First.

- > Be a team player and follow your manager's reasonable instructions, performing additional duties as needed.
- > Bring your whole self every day, to proactively promote a wellness, inclusive, health and safety conscious culture at Avanti.

THE SUCCESSFUL CANDIDATE WILL HAVE:

- > 2–5 years' experience in a financial services environment or similarly regulated industry, with practical exposure to operational risk management across any of the three lines of defence.
- > Demonstrated experience working with risk frameworks, control environments, policy management, and/or assurance activities, with an understanding of how these elements support effective risk outcomes.
- > Strong understanding of key risk categories within a financial services organisation and a clear appreciation of the role of risk management in enabling sustainable business performance.
- > Familiarity with enterprise risk management systems (such as Protecht or similar) and confidence working with risk data, systems, and reporting tools.
- > Highly organised with the ability to manage competing priorities, meet deadlines, and maintain a high level of accuracy and attention to detail.
- > Strong analytical and numerical capability, with the ability to interpret information, identify issues, and support informed decision-making.
- > Well-developed relationship management and stakeholder engagement skills, with the confidence to work effectively across all levels of the organisation.
- > Clear and confident written and verbal communication skills, able to translate risk concepts into practical business guidance.

OPERATIONAL DETAIL:

Location	Auckland - Wynyard Quarter
Department	Legal, Risk & Compliance
Reporting to	Group Risk Manager
Direct reports	None
Internal relationships	All members of the Avanti Group
External relationships	Regulators, External Service Providers